

CHRE performance review 2010-2011

Education and training

NOTE: Throughout this document, references to 'the order' are to the Nursing and Midwifery Order 2001, as amended. Where available, web links are provided in endnotes as supporting evidence.

For the purposes of publication, questions raised by CHRE, together with our responses (submitted in March 2011), have been added to the end of our original submission of December 2010.

		Pages
First standard	Standards for education and training are linked to standards for registrants. They prioritise patient safety and patient centred care. The process for reviewing or developing standards for education and training should incorporate the views and experiences of key stakeholders, external events and the learning from the quality assurance process.	3 - 11
Second standard	Through the regulator's continuing professional development/revalidation systems, registrants maintain the standards required to stay fit to practise.	12 - 13
Third standard	The process for quality assuring education programmes is proportionate and takes account of the views of patients, students and trainees. It is also focused on ensuring the education providers can develop students and trainees so that they meet the regulator's standards for registration.	13 - 16
Fourth standard	Action is taken if the quality assurance process identifies concerns about education and training establishments.	16 - 18
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Firs	and patient centred care. The process for reviewing or developing standards for education and training		Standards for education and training are linked to standards for registrants. They prioritise patient safety and patient centred care. The process for reviewing or developing standards for education and training should incorporate the views and experiences of key stakeholders, external events and the learning from the quality assurance process.
1			
2	The o	code (and	d where appropriate the Midwives rules and standards) are therefore central to our:
	2.1	Standa	rds for pre-registration nursing education ^{3 and 4}
	2.2	Standa	rds for pre-registration midwifery education ⁵
	2.3	Standa	rds of proficiency for specialist community public health nurses ⁶
	2.4	Standa	rds to support learning and assessment in practice ⁷
	2.5	Standa	rds for specialist education and practice ⁸
	2.6	Guidan	nce on professional conduct for nursing and midwifery students ⁹
	2.7		ce on good health and good character, which we provide both for approved education institutions (AEIs) and for is, nurses and midwives
3	The primary purpose of all education standards is safe and effective practice at the point of registration, or prior to record qualification on the register. Emphasis on safeguarding and public protection is central to education standards and stud guidance. Some of the work undertaken over the last few years in reviewing the pre-registration nursing and midwifery particularly involving external stakeholders, has indirectly influenced the development of practice standards and guidance.		n the register. Emphasis on safeguarding and public protection is central to education standards and student ne of the work undertaken over the last few years in reviewing the pre-registration nursing and midwifery standards,

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	nurse	es and mi	dwives as well as those for education.			
4	circul deve	We aim to ensure that our education standards and guidance are consistent with practice standards, guidance and related circulars developed for nurses and midwives. For example, the following standards and guidance publications have informed development of the new Standards for pre-registration nursing education and Standards for pre-registration midwifery education, and will also be taken account of during ongoing programme delivery.				
	4.1	Standar	rds for medicines management ¹⁰			
	4.2	Standar	rds of proficiency for nurse and midwife prescribers ¹¹			
	4.3	Standar	rds for the supervised practice of midwives ¹²			
	4.4	Standar	rds for the preparation and practice of supervisors of midwives ¹³			
	4.5	The Pre	ep handbook ¹⁴			
	4.6	Record	keeping: Guidance for nurses and midwives ¹⁵			
	4.7	Guidan	ce for the care of older people ¹⁶			
	4.8	Care ar	nd respect every time: what you can expect from nurses ¹⁷			
	4.9	Raising	and escalating concerns: Guidance for nurses and midwives ¹⁸			
case of the new standards for pre-registration nursing education. ³ Education providers are alerted to the issuir requirements by NMC Circulars ¹⁹ . Additional information and clarification is provided through correspondence		Most sets of standards include guidance in the same document. Advice is sometimes issued separately, as in the				

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	advice	e is monit	tored by our call centre and updated as and when necessary.
6	We have produced comprehensive advice and supporting information for implementing the standards for pre-registration nurs education, ²⁰ which is a dynamic document that will be developed over time to take account of feedback during the implementation for new programmes. We have also provided links on our website to contemporary resources which programme providers may useful. This approach at providing web based contemporary information is part of the new 'library of standards' project which we be rolled out across all programmes in the future.		hich is a dynamic document that will be developed over time to take account of feedback during the implementation nmes. We have also provided links on our website to contemporary resources which programme providers may find proach at providing web based contemporary information is part of the new 'library of standards' project which will
7	stude	nts, nurse	o sets of guidance in relation to our requirements for good health and good character, one for AEIs ²¹ and one for es and midwives. ²² These have been updated to take account of the Equality Act 2010 and a more comprehensive uidance will take place during 2011.
8	Feedback from stakeholders, particularly during earlier work on the ongoing review of fitness for practice at the point of registration, had indicated the need for us to set criteria for selection around values and attitudes. This feedback informed the development of our <i>Guidance on professional conduct for nursing and midwifery students</i> . ⁹ This was a significant new development when it was first published in 2009 and has been welcomed by education providers. We have anecdotal feedback that it is proving particularly useful in student fitness to practise hearings. We issue copies to AEIs for distribution to all first year nursing and midwifery students and their mentors.		Id indicated the need for us to set criteria for selection around values and attitudes. This feedback informed the four <i>Guidance on professional conduct for nursing and midwifery students.</i> ⁹ This was a significant new when it was first published in 2009 and has been welcomed by education providers. We have anecdotal feedback g particularly useful in student fitness to practise hearings. We issue copies to AEIs for distribution to all first year
9	9 The review also led to the strengthening of the <i>Standards to support learning and assessment in practice</i> . ⁷ This work invo public engagement and resulted in the introduction of a number of requirements, which were aimed at ensuring that safe judgements could be made about a nursing or midwifery student's developing competence in the practice setting and that concerns could be promptly addressed. For example:		nent and resulted in the introduction of a number of requirements, which were aimed at ensuring that safe uld be made about a nursing or midwifery student's developing competence in the practice setting and that any
	9.1	plans co	bing achievement record to ensure that student concerns could be addressed as soon as possible and that action build be passed from one mentor to the next (Circular 33/2007 <i>Ensuring continuity of practice assessment through</i> boing achievement record) ²³
	9.2	Sign off	mentors that have to determine competence in practice, as required by the respective programme standards

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		(Section	2.1.3, Standards to support learning and assessment in practice) ⁷	
	9.3	particula nursing	al skills clusters (ESCs) to be achieved at specific points in the programme, which were designed to respond to ar areas of public and professional concern (Circular 07/2007 Annexe 2, Essential skills clusters for pre-registration programmes, ²⁴ Circular 03/2009 ²⁵ replacing Circular 23/2007 Introduction of essential skills clusters for pre- tion midwifery education)	
	9.4		l programme entry criteria and guidance for student selection (Circular 13/2008 Good practice guidelines for n of candidates to pre-registration nursing and midwifery programmes) ²⁶	
10	10 Standards relating to pre-registration nursing education have been under ongoing review since 2005; background inform be found in our submissions for previous years and on our website. ²⁷ Over time, the review led to the introduction of a n measures aimed at ensuring that students are safe and effective at various points during their programme and compete practice at the point of registration.		r submissions for previous years and on our website. ²⁷ Over time, the review led to the introduction of a number of ed at ensuring that students are safe and effective at various points during their programme and competent in	
11	In revising and developing new standards for both midwifery (2009) and nursing (2010) education, the earlier initiatives identified above were incorporated into the new standards. Feedback from practitioners, gained as part of the review of pre-registration nursing education, also indicated that some of the above measures were proving helpful and considered important for public protection.		corporated into the new standards. Feedback from practitioners, gained as part of the review of pre-registration	
12	A major emphasis in the new <i>Standards for pre-registration nursing education</i> ³ is the need for all nurses, irrespective of their fie of practice, to be able to meet the essential care needs of people of all ages, as well as being able to meet the more complex needs of people within their nursing field. Central to this is the need to respect dignity and to practice with care and compassion As reported in paragraph 4, our <i>Guidance on the care of older people</i> ¹⁶ informed the development of these standards.			
13	B During the development of these standards, we engaged with over 5,000 people, including patients' organisations, as well as directly with service users and carers. We worked directly with Age UK, Alzheimer's Society, Rethink and Mencap to ensure the what clients had to say directly influenced our standards, guidance and advice.			

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14	survey. Four s problems, tog	to April 2010, we asked for feedback on the draft standards for pre-registration nursing education via an online smaller surveys were also available for older people, people with dementia, learning disabilities or mental health ether with their families and carers. These surveys were developed in partnership with Age UK, Alzheimer's Society, <i>I</i> encap. The report is available on our website. ²⁸
15		extremely positive feedback about our work with lay groups externally and internally; the reports from the work with are available to programme providers to inform curriculum development.
16		Mencap has been cited as a case study, as an example of good practice, in the 2010 edition of the Department of uidance for people who commission or produce Easy Read information: ²⁹
		the Nursing and Midwifery Council (NMC) reviewed their standards for pre-registration nursing training. Insulted on a set of draft standards covering the knowledge, skills and attitudes required of qualified
	that nurs question	portant that people with learning disabilities were able to participate because they often use the services ses provide. The NMC worked with Mencap to tailor their approach. Mencap produced a short, Easy Read naire, which focused on people's own healthcare experiences rather than on complex questions about the ndards themselves.
	with lear	as the Easy Read questionnaire, a focus group was carried out in order to consult face to face with people ning disabilities. The focus group made use of scenarios and role-plays so participants could act out and ut the experiences they'd had in hospital.
	learning inform N	sultation generated rich data that gave a good insight into the experiences and needs of people with disabilities as well as reinforcing some of the key messages from the standard consultation. This helped MC's decisions about the new standards and enabled them to place sufficient emphasis on the priority skills identified."

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17	Society to furt	paragraph 31 of the third standard for guidance and standards, we are currently working with the Alzheimer's her explore some of the issues, around care of people with dementia and cognitive impairment, which emerged volvement with the review of pre-registration nursing education.
18	This was publ 2010 and is n	mation about our stakeholder engagement, including the outcomes, is provided in a report produced in June 2010. ished as an annexe to the paper when the new standards were presented to the Council for approval in September ow available on our website. ³⁰ Another annexe to the paper provided details of how the draft standards had been wing the consultation earlier in the year. This document ³¹ is one of the many that can be accessed from the review's r website. ²⁷
19	parallel with <i>I</i> 1999 by the U became know number of rec four branch pr with the UK per regulation of <i>I</i> education. De nursing in 200	number of other external influences on the review of pre-registration nursing education, which was undertaken in <i>Modernising nursing careers</i> . ³² The previous UK wide review of pre-registration nursing education was undertaken in Inited Kingdom Central Council for Nursing Midwifery and Health Visiting (UKCC) and led to the introduction of what in as the 'fitness for practice' programmes. In 2001, the UKCC Post Commission Development Group had made a commendations for any future review, in particular the need to promote inter-professional learning and to review the rogrammes for adult, children's, mental health and learning disabilities nursing. These recommendations, together policy drivers associated with modernising nursing careers, and the white paper <i>Trust assurance and safety – The</i> <i>mealth professionals in the 21st century</i> , ³³ became major influencing factors for the review of pre-registration nursing velopments were also directly influenced by reports about poor practice, in particular in relation to learning disabilities 8 the <i>Michael Report - Healthcare for all</i> . ³⁴ More recent influences were reports relating to the poor care of older ports of other high profile service failures in the NHS and independent sector care.
20	Committee pre- evidence to su determine the	urse of the review that led to our current <i>Standards for pre-registration midwifery education</i> , ⁵ the Midwifery oposed that there should be a staff:student ratio of one midwife teacher to 10 pre-registration students. As the upport this ratio was very limited, in March 2009 we commissioned a study to identify measures that could be used to value that midwife teachers bring to childbearing women. The Midwives in Teaching (MiNT) project was a study involving five universities, led by the University of Nottingham. The objectives of the project were to investigate.

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	20.1 The var	ious models for delivery of pre-registration midwifery education
	20.2 The spe	ecific contributions to practice learning made by midwife teachers
	20.3 How as	pects of the curriculum, teaching and learning support affect the quality of care provided by newly qualified midwives
	20.4 The val	ue brought to the care of families by midwife teachers
21	21 The outcomes of this project, which was completed in November 2010, will help us to decide whether there is an optin teacher resource (staff:student ratio) and, if so, whether it could be universally applied. The research will also identify measures that could be assessed through the quality assurance (QA) monitoring process, which may be more approprecommending a staff:student ratio. Further information about the project is available from its website. ³⁵	
22	with the Royal education prof midwifery cont of a rapidly ch workstreams,	0 was a UK-wide collaborative programme led by the four UK Chief Nursing Officers and carried out in partnership Colleges, the NMC and a range of partners and stakeholders in maternity care including professional bodies, higher essionals, interest groups and employers across all four countries. The programme of work looked at maximising the ribution to improving the experience of women during their maternity care, meeting the health and social care needs anging population and improving the outcomes for mothers, babies and families. The final report for one of the key relating to education and career progression, was published at the end of March 2010. ³⁶ The final report of the <i>Midwifery 2020: Delivering expectations</i> , was launched in September 2010 and is available from the <i>Midwifery 2020</i>
23		om the <i>Midwifery 2020</i> programme, together with the report of the MiNT project, will provide a key resource for the on and review of our <i>Standards for pre-registration midwifery education</i> ⁵ and the <i>Midwives rules and standards</i> . ²
24	Examples inclu Council (NLC)	al stakeholders have worked with us to ensure that current issues and best practice are reflected in our standards. uded meetings with the NHS National Genetics Education and Development Centre and the National Leadership around quite different contemporary issues as part of the review of pre-registration nursing education. We aim to be in setting standards and have, therefore, resisted requests for more detailed inclusion of content around, for

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		etics and genomics, or by adopting the NLC's full set of competencies. We believe this detail is for programme onsider as part of their own programme development and evaluation.
25	and evaluated key risks to as of a risk surrou within adult nu	nual QA monitoring process, all outcomes that have been monitored against standards of education are moderated for trends and key risks. This then informs the next year's monitoring plan where reviewers specifically target those scertain how AEIs are controlling the risks through their internal processes. An example of this was the identification unding the compliance with the European Union (EU) Directive on the recognition of professional qualifications ³⁸ irsing particularly around AP(E)L admissions; this area is being targeted in the monitoring process for 2010-2011 to processes for AP(E)L are occurring.
26	revisions, sub-	e review the implementation of circulars that have been released by the NMC to ensure that the amendments or sequent to the original release of the standards, have been incorporated. This way we can monitor the effectiveness ry change. An example of this is to ascertain how AEIs are incorporating the potential to utilise 300 hours of ctice into the programme, as set out in NMC Circular 36/2007. ³⁹
27	deal with both monitoring pro thereby ensuri with our <i>Stanc</i>	ality assurance process, AEIs demonstrate that they have fitness to practise processes in place for students, which conduct and health issues; we do not collect detailed information on individual student fitness to practise cases. The process indicates that this key risk area is being controlled well and that students are introduced to it at an early stage, ing that they are aware that their conduct is being monitored during the course of their programme. In accordance dards to support learning and assessment in practice, ⁷ mentors understand their responsibility for monitoring the ehaviour and conduct of their students.
28	education faci deal with issue	icies and procedures in place to address issues of poor student conduct. For example, at one AEI, practice litators have developed a process to help mentors deal effectively with such matters. A flow chart sets out how to es of conduct and a policy document explains requirements for issues that may put patients at risk. The student for reporting conduct issues is reinforced in the hand-book; this includes any concerns about the delivery of patient
29	Another exam	ple is where an academic offence policy, which covers all the students at the AEI and deals with matters such as

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	responsible for conducted, re the fitness to convened by	xams and plagiarism, is run in parallel with the policy for nursing and midwifery students. The Associate Dean is or convening an Academic Offence Panel. At stage 1, which does not involve the student, an investigation is eviewed and depending on the severity of offence, the matter will proceed to stage 2. A referral may also be made to practise panel, for example, where the matter involved forgery of a signature. The fitness to practise panel is the Student Academic Services Department and the panel comprises senior academic staff, a student union e and a director of nursing.
30	discontinuing	oring considers the mechanisms, contained within the AEI's academic regulations, for interrupting, withdrawing or students across the sector. It also looks at the reasons for applying these sanctions, one of which may be related to fitness to practise.
31	When approv standards for	o compromises to public safety and will not allow inconsistency in the interpretation of our standards for education. ing programmes, we require that each AEI meets all our standards for education through its curriculum design. Our education and training are expressed in such a way as to allow scope for providers' interpretation in developing their mes, in consultation with commissioners and local service providers.
32	controlled; the number of tea year included	proving and monitoring programmes, we set audit trails, which enable us to identify key risks that may be less well ese can then be targeted during the following year's monitoring. For example, having become aware that there were achers who had not recorded their teaching qualification on our register, the monitoring programme for the following a check that all teachers had the relevant recorded qualification. With the Equality Act 2010 coming into force this nitoring programme is checking whether those involved in recruitment and selection have undergone equality and ing.

Sec	ond standard	Through the regulator's continuing professional development/revalidation systems, registrants maintain the standards required to stay fit to practise.
33	external suppli	year, we have completed phase one of the current revalidation project plan, the information gathering phase. An er was contracted to undertake a study to gather information around the principles of revalidation, which had been DH working group for non-medical revalidation. ⁴⁰
34	surveys. It also risk and the pro- recommended transparent an	d a variety of tools, including interviews, workshops, a review of formal and unpublished literature and large scale took account of annual reviews, multi source feedback, learning and development requirements, management of becesses required for us to make fitness to practise decisions on individual nurses and midwives. The report several actions, which were designed to raise quality and safety as well as strengthening public confidence through d accountable processes. Strengthening of our standards to support the revalidation process was recommended, code ¹ and the standard for post-registration education and practice (Prep). ¹⁴
35	survey of 1,600 The results ind that will facilita need to consid that we consid	Ided consideration of our current CPD arrangements to determine the impact on patient safety. This involved: a D nurses and midwives; a workshop with 20 other key stakeholders; and the views of a 16 member expert panel. icated that CPD is seen by nurses and midwives as a way of supporting career development, rather than something te improvements in their practice. We recognise that, if CPD is included as part of our revalidation process, we will er how it can best be used to support improvement in the areas of safety, quality and risk. The study recommended er using CPD as a source of evidence to demonstrate continuing fitness to practise. In order to enable nurses and otter link CPD to practice improvement, we will be developing this work stream in phase two of the revalidation
36	stakeholders w revalidation tea	n the study was considered at a Council seminar in July 2010. Further engagement with Council and key vill take place in early 2011 to validate the findings within the report. In the meantime, we have strengthened the am through the appointment of a Head of Revalidation and a Revalidation Programme Manager. Phases two to four an will be completed by 2014.
37		rtaken some initial scoping of the modifications, to our internal systems, that will be required for revalidation and of CPD. This has identified a number of tasks that need to be undertaken to improve processes and infrastructure.
38	If we are to est	ablish a risk based revalidation process, we need to be able to target individual nurses and midwives whose area of

Sec	ond standard	Through the regulator's continuing professional development/revalidation systems, registrants maintain the standards required to stay fit to practise.
	determine the practise cases system and the	een identified as high risk. Analysis of information collated from historical fitness to practise cases will help us greatest areas of risk within our register. Work has already started on cleansing the data from all the fitness to considered under the current rules. We are also making the link, between the fitness to practise case management e database used to manage the register (WISER), more effective in order to facilitate targeting of the areas of as identified by the analysis of the fitness to practise data.
39	revalidation, to	oted in our submissions in previous years, we are concentrating our resources on developing a new process for ogether with a new standard to replace the current Prep standard. ¹⁴ We currently have a three-year registration ocess for renewal includes a requirement for the nurse or midwife to declare that they have complied with our Prep
40	not see a relat integrating the will enable us recent DH lette	tinely receive feedback from nurses and midwives on CPD but, as will be seen from paragraph 35 above, they do ionship between CPD and developing their practice. Following discussions with the DH, we are now considering existing Prep standard and processes into the new revalidation standards, guidance and CPD audit processes. This to ensure that our final revalidation system is 'affordable and supports high quality care', as emphasised in the er, regarding non-medical revalidation, that was issued to all regulators. In the interim, we are already undertaking work to develop Prep into a more robust tool to support this.

		The process for quality assuring education programmes is proportionate and takes account of the views of patients, students and trainees. It is also focused on ensuring the education providers can develop students and trainees so that they meet the regulator's standards for registration.	
		available on the website of our supplier, Mott MacDonald, ⁴¹ which includes areas of good practice that we are	
42	42 The framework encompasses approvals monitoring, re-approvals and endorsements of educational programmes. Proport accountability, consistency, transparency and targeting are central tenets of the framework, in accordance with the underg		

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	monitor and in	For QA. This approach allows us to work with each AEI's existing internal QA processes, enabling us to highlight, and cate enhancement through the development and implementation of each annual review plan and, where an standards and proficiencies for education. Wherever possible, we aim to avoid duplication of work already ken by AEIs.
43	good, or outst be subject to a year 2008 – 2 has enabled u	strated by our awarding of earned autonomy status as part of the annual monitoring process. AEIs who have gained anding, grades across all key risks are awarded earned autonomy status for the following year. These AEIs will not a monitoring visit by reviewers but will be required to undertake a self evaluation and submit a report. In the academic 009, 24 AEIs were awarded earned autonomy status and in 2009 - 2010 there were 33 awards. Earned autonomy is to strengthen our approach in targeting AEIs proportionately and also enables us to acknowledge the robust easures that they already have in place.
44	evaluations is or followed up 54 approval e	ss includes encouraging the AEI to complete a standard evaluation form following a QA event. A summary of these considered at monthly contract meetings with our QA supplier and any necessary actions or reviews are evaluated as necessary. During the academic year beginning September 2009, we received feedback from nine of the vents and from nine of the 31 monitoring events. We use this feedback to identify areas for enhancement of the e often relate to communication.
45	variety of stak organisations,	rd, we are have commissioned an external review of our QA framework, which is canvassing the opinions of a wide eholders. These include AEIs, education commissioners, placement providers, students, mentors, voluntary patients and carers. We are using a number of methods to collect data for analysis: electronic survey, telephone to face interviews and a stakeholder workshop. The report of the review is due to be considered by Council in 1.
46	for practice ev risks identified	work has been developed to support the checks on meeting our standards for education and encompasses fitness ridence. Consequently each programme or institutional monitoring event can be judged against those standards. Key I by one year's monitoring activity become the focus for monitoring in the following year and are incorporated into that ring review plan (see monitoring review plans for 2009 -2010 and 2010 -2011). ⁴²
47	At approval ar	nd monitoring events, our reviewers have an opportunity to meet with students, mentors and stakeholders separately,

Third standard		The process for quality assuring education programmes is proportionate and takes account of the views of patients, students and trainees. It is also focused on ensuring the education providers can develop students and trainees so that they meet the regulator's standards for registration.	
	evidence. AE programme. T recruitment a	List their views on the overall student learning experience. This information is then triangulated with the documentary Is are expected to provide evidence of how patients and carers have contributed to the design or delivery of the This can be achieved through physical representation at approval and monitoring events, through participation in ad admissions, influencing course design, content delivery and through contributions to student assessments at a all or through simulation of practice.	
48	are also seeir	ental health nursing is leading the way in the use and participation of service users and carers in programmes. We ng this develop further in midwifery, where student midwives have caseloads and women are encouraged to offer the care they received.	
49	partners' sche In another exa service user e The AEI has b	of good practice identified during the QA process, which achieved an outstanding grade, was a 'using patients as eme, where patients participated in simulated learning activities and provided feedback on the student's performance. ample of user involvement, one AEI has a designated Service User Champion who is responsible for promoting engagement in the work of all the health profession programmes. This extends to both curriculum design and delivery been doing this work with the help and support of its Social Work Department, who have considerable experience of demonstration of our commitment to learn and share best practice for other professions.	
50	at the recent of	ng standards for education, patient and carer representative groups are consulted widely and this was very apparent consultation on the standards for pre-registration nursing. Further information about this work is provided in 3 to 18 of the first standard.	
51	AEIs. Conside we consider the the findings of council represe forums. Some	A framework, student evaluation of theory and practice learning is ascertained through the mechanisms established by eration of these evaluations is very important. As the number of students participating in this process is not very high, he response rate achieved and how the AEI is seeking to improve that. We also consider how the AEI responds to f the evaluation and how these are reflected in the design of new programmes. Student union groups and student sentatives often sit on academic faculty boards, thereby ensuring that this user voice is heard at influential university e AEIs are also now including students on the approval panel as part of the review process, which usually provides a in the student experience	
52	Employer fee	dback about the competence of newly registered nurses and midwives has grown since incorporating the ESCs into	

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have invested heavily in h users and patients to cont on their communication sl learning environments, the refinements within the new captured by AEI's and the preceptorship during the f events, which yield the lev support by commissioners		ammes. Simulation is often used as a teaching and learning strategy within these programmes. Many AEIs y in high fidelity simulation equipment and clinical skills facilities. These provide the opportunity for service contribute to learning activities by playing the role of patients and carers and providing feedback to students on skills. In some areas, placement providers contribute to scenario based learning within these important s, thereby influencing learning outcomes and skills competency. Other aspects of this process include e new standards for pre-registration nursing to reflect the nurse for the future. First destination data are d these data are analysed in the context of the role transition necessary for registration and appropriate the first year of registration. There is also an opportunity to meet with stakeholders at approval and monitoring is level of confidence in the educational programme delivery. The statement of compliance or letters of oners of nursing and midwifery education also testify to the support for the programme under review. This burned through the QA review mentioned in paragraph 45.
53	they will seek 'fitness for pra focus on 'getti	e increasingly drawing on their programme monitoring experience when conducting approval events. For example, clarification on aspects of admission and progression, preparation and support for mentorship and the assessment of actice'; they will offer guidance on what aspects are likely to be followed up during future annual monitoring. This ng it right' at the approval stage is reflected in later monitoring events with evidence of stronger risk control proved experiences for students and greater protection for the public.

Fou	rth standard	Action is taken if the quality assurance process identifies concerns about education and training establishments.
54	54 During the academic year 2009-2010, there were 68 approval events covering 148 programmes. There were 52 monitoring visits with a total of 128 programmes being reviewed. Fourteen AEIs achieved an 'outstanding' overall grade. Two were recorded as 'unsatisfactory' and action plans were put into place against set criteria. Thirty three AEIs earned autonomy in the monitoring activity which meant that they achieved an overall grade of 'good' or 'outstanding' across the key QA risks.	
55	During monitoring events, evidence from the AEI's internal QA processes is reviewed; this identifies good practice and gaps in th	

Fourth standard		Action is taken if the quality assurance process identifies concerns about education and training establishments.	
	evaluation of p for decision ma	Providers tend not to audit their internal QA processes. For example, there may be a process in place for student lacements but if few complete the evaluations, this calls into question the validity of the information as a useful basis aking and the quality of some evaluation tools. Highlighting this sort of issue generally raises its priority and the appropriate action is demonstrated.	
56	respond accor that, whilst the	service providers take the award of either a 'satisfactory' or 'unsatisfactory' overall grade very seriously and dingly. The concerns identified are addressed quickly and rigorously. AEIs and service managers have commented identification of a weak risk control was initially challenging, it has led to much stronger QA processes and greater etween the partners in programme delivery.	
57	7 Concerns surrounding irregularities, or inconsistencies in meeting the academic standards, are analysed on an individual basis, to resolve specific issues and to identify emerging themes across the sector. For example, when programme approval has lapsed, insufficient preparation on the part of the development team can lead to a failure to demonstrate how the standards for education would be achieved. In this situation approval is withheld.		
58	conducted an Foundation Tro what action pla together with a	, as a result of concerns over the quality of care in two specific areas, maternity services and accident services, we extraordinary review of placement learning environments at Basildon and Thurrock University Hospitals NHS ust. The review both reassured us regarding how the standards were being met in supporting student learning and ans were in place in relation to enhancement of learning opportunities and support. The full report of the review, a separate executive summary, is available on our website. ⁴³ Checking on progress since the review will form part of nitoring for 2010-2011.	
59	programme that the list of ment play an importan plan to control another AEI the order to be cor	er part of the academic year of 2009 – 2010, we became aware that one AEI was running a mentor preparation at had not been subject to our approval process. This was a potential risk to the integrity of the 'live mentor register', cors who are suitably qualified to conform to our <i>Standards to support learning and assessment in practice</i> ⁷ and who ant role in supporting student achievement in practice. We worked with the AEI to formulate an extraordinary action and resolve the risks, which has been achieved successfully. We are continuing to work through similar actions with at uses the same placement provider. This led to us to undertake an extraordinary visit to the placement provider, in infident that the live mentor register was both reliable and valid. Having identified a problem, we took decisive action e integrity of our standards for education, as part of our overall duty to protect the public.	

Fourth standard		Action is taken if the quality assurance process identifies concerns about education and training establishments.
60	60 In October 2010, Council delegated the operation of AEI approvals to the Registrar. To support him, we established an internal group to provide advice on education issues. The remit of the Registrar will include reviewing the approvals and monitoring undertaken as part of our QA framework. This will strengthen the QA feedback loop by providing an internal level of scrutiny and discussion around education matters.	

Fift	n stand	lard	Information on approved programmes and the approval process is publicly available.
61	1 Information to the.		the approval process is available through the <i>Reviewing and monitoring</i> section of our website. ⁴⁴ This provides links
	61.1	includes	dbook, which provides detailed information about our QA framework and how it is applied throughout the UK; it also information for programme providers to help them prepare for the review and to support them in their self on procedures
	61.2	Results	of annual monitoring, which include the monitoring review plan for that particular year
	61.3	Monitori	ng reports for each provider
	61.4	Website	of our external suppliers, Mott MacDonald
62	Information on approved programmes is available through a search facility. ⁴⁵		approved programmes is available through a search facility. ⁴⁵
63			of <i>information</i> section of our website ⁴⁶ explains the procedure and provides a request form, together with some ed questions.

CHRE's questions and NMC's responses

Standard 1: Standards for education and training are linked to standards for registrants. They prioritise patient safety and patient centred care. The process for reviewing or developing standards for education and training should incorporate the views and experiences of key stakeholders, external events and the learning from the quality assurance process.

Question 1: Will the review of the guidance on requirements for good health and good character take account of our policy project report on good character?

64 Yes. A full review of our good health and good character guidance is scheduled to be undertaken in 2011-2012 which will take full account of CHRE's good character project report.

Question 2: What consideration has the NMC given to developing guidance for students/prospective students with disabilities on access to nursing and midwifery careers?

65 In making adjustments to our guidance on good health and good character, we have provided more clarity regarding our requirements for access to, and support for, students with disabilities. We have also provided advice and supporting information to accompany the new *Standards for pre-registration nursing education*, some of which applies specifically to supporting students with disabilities.^{3, 20} Issues relating to programme access, making reasonable adjustments and providing appropriate support for students with disabilities will all form part of the comprehensive review of our good health and good character guidance.

Question 3: The NMC has indicated that accredited education institutions have processes in place for dealing with student fitness to practise issues. How has it assured itself that these processes are used and do ensure that only those fit to practise continue on the undergraduate education courses?

66 As part of the QA process, institutions must provide information about their processes for fitness to practise, to illustrate how they manage the risk. This includes information about the policies for fitness to practise, how the process is activated and how students are introduced to, and updated on, their professional role. In this academic year, the annual monitoring process includes collecting and collating data, from AEIs, about the number of fitness to practise student hearings that have been convened, the

reasons for the hearings and the outcomes.

Question 4: In paragraph 31, the NMC appears to state that accredited education providers are not allowed to interpret the standards for education in the first sentence but states in the second sentence that there is room for interpretation. Could we have clarification on this?

67 Each AEI must illustrate the way in which the curriculum meets the standards for education. However, it is recognised that each institution may decide to apply the standards in different ways, for example, in relation to the content and delivery decisions for their particular programme. This will help them to meet the requirements for practice, providers and commissioners.

Standard 2: Through the regulator's continuing professional development/revalidation systems, registrants maintain the standards required to stay fit to practise.

Question 5: How does the NMC plan to address the finding that registrants see CPD as a way of supporting career development rather than something that facilitates improvement in practice?

- 68 We will ensure that our new revalidation system includes an enhanced CPD monitoring process that:
 - 68.1 Focuses on identifying the outcomes of learning activities and their impact on the continuing fitness to practise of nurses and midwives
 - 68.2 Enables us to ensure that nurses and midwives keep their skills and knowledge up to date in relation to their current area of practice
 - 68.3 Motivates nurses and midwives to improve their knowledge and skills and promotes a culture of continuous improvement in practice
- 69 We will be developing a risk-based audit process, through which CPD can be used as a source of sound evidence to demonstrate continuing fitness to practise.
- 70 A key feature of this programme of work will be close and sustained engagement with external stakeholders to develop and gain agreement to rollout plans, to deliver and assess pilot schemes and recommend timescales for full implementation. In addition,

this will ensure that they fully understand that CPD will be audited to monitor that it is being used to improve practice.

Question 6: The NMC has stated that it is concentrating its resources on developing a new revalidation process. Could we have further evidence of the outcomes of the work undertaken to develop the revalidation process?

- 71 During the last year, we completed phase one of the current revalidation project plan the information gathering phase. An external supplier was contracted to undertake a study to gather information around the principles of revalidation. The study used a variety of tools including interviews, workshops, a literature review, surveys, annual review feedback and a review of the processes required for us to make fitness to practise decisions on nurses and midwives. The study included consideration of our current CPD arrangements which involved: a survey of 1,600 nurses and midwives; a workshop with 20 other key stakeholders; and the views of a 16 member expert panel.
- 72 Actions taken since receiving this report have included:
 - 72.1 The appointment of a head of revalidation and a revalidation programme manager in October 2010.
 - 72.2 Scoping the modifications to our internal IT systems that are required for revalidation and improved audit of CPD, which has identified a number of actions to improve processes and infrastructure. Work has commenced on these projects, including improvements to the system and the database used to manage the register (WISER).
 - 72.3 Starting to cleanse the data from fitness to practise cases to enable us to better use this data in a risk based audit process.
 - 72.4 Meeting regularly with the other health care regulators; mapping their proposals and decisions regarding revalidation in order to consolidate the evidence base that informs and validates the development and implementation of our revalidation system.
 - 72.5 Producing a review of the 'lessons learned' from previous NMC projects and policy and standards development to better ensure a successful outcome to this project implementation.
 - 72.6 A decision to integrate the existing Prep standard and processes into the new revalidation standards, guidance and CPD audit processes. Internal work to develop Prep into a more robust tool, to support this, has already commenced, notably

for those registrants that present with problems at renewal of registration.

- 72.7 A robust assessment of the views of the key internal stakeholders to make sure that the next phase of engagement with external stakeholders will be based on clear proposals and also to ensure that their contributions to the development of the system are visible. The next phase of engagement with external stakeholders will commence in April 2011, following the Council update at the March meeting.
- 73 This activity will enable us to ensure that our final revalidation system is 'affordable and supports high quality care', as emphasised by the DH in its letter to the NMC and other regulators in November 2010.
- Standard 3: The process for quality assuring education programmes is proportionate and takes account of the views of patients, students and trainees. It is also focused on ensuring the education providers can develop students and trainees so that they meet the regulator's standards for registration.

Question 7: The NMC in paragraph 42 states that it aims to avoid duplication of work already undertaken by the accredited education institutions. However, feedback from the Council of Deans indicates that the institutions do not agree with this statement. How does the NMC anticipate that it will address this concern?

- 74 We are conscious of the need to be proportional, whilst avoiding unnecessary duplication and overlap in QA activities. However, we feel that the need to accommodate 50 percent theory and practice, within our approved programmes, necessitates this level of scrutiny in order to assure public protection. We appreciate that the QA processes for higher education and for contract monitoring by commissioners are well established but they do not always apply the same level of scrutiny as we require.
- 75 The suggestion, made by the Council of Deans, that our processes may be more closely aligned with those of the Health Professions Council does not currently account for the size of the practice learning components within many of their approved programmes. Nevertheless, we continue to look for opportunities to work more closely with other regulators.
- 76 Between 2004 and 2006, we participated in activities in England known as Major Review where we contributed as part of a joint Quality Assurance Agency team to quality assure nursing and midwifery education. This later led to pilots in which all health related programmes were monitored at one single event but the outcome was not necessarily less burdensome. Further work was then undertaken by Skills for Health which focused more on contract monitoring in England. When we outsourced our UK-wide QA processes in 2006, we moved to a proportional risk based approach, through which institutions could earn autonomy for the quality of their provision. This had the capacity to reduce the QA burden for those institutions exceeding our

requirements by them not having to be visited in that year. From employer events, we are aware of a range of stakeholder views and that some have indicated the need for us to be more rigorous in our approach to QA.

77 We have undertaken a comprehensive audit of our QA activities, which will inform the way in which QA arrangements will be progressed in the future. The current contract with our QA supplier ends in September 2011. We will be keen to engage with stakeholders in developing revised systems.

Question 8: Would it be possible to see the outcome of the review of the quality assurance process commissioned by the NMC?

A summary report of the outcome of the external review of the quality assurance framework will be released later in March 2011.

Standard 4: Action is taken if the quality assurance process identifies concerns about education and training establishments.

Question 9: How has the NMC assured itself that students and others are able to bring concerns about accredited education institutions to its attention? How did it become aware of the accredited education institution that was running an unapproved mentor programme?

- 79 The QA framework reviews the processes that AEIs have in place when considering student, user and stakeholder concerns and complaints. One of the five key risk areas for annual monitoring is internal quality assurance so that we can gather evidence from the annual monitoring report about individual AEI QA processes. Additionally the public, students and stakeholders can contact us directly via letter, email or the call centre where all queries and concerns are followed up directly by an appropriate member of staff.
- 80 During annual monitoring, reviewers have an opportunity to meet students, mentors, managers and other stakeholder groups in order to canvas their views about NMC programmes and their satisfaction with them. These meetings also provide an opportunity for reviewers to triangulate the evidence provided by the AEIs thus determining that all processes are working in practice.
- 81 We were informed about the unapproved mentor programme via our existing links with the AEI. It is possible for programme providers, or anyone involved in the programme, to contact us directly to tell us about their concerns or findings. In the case of the mentor programme, the practice education facilitator contacted us directly because of the findings following an educational audit. The other ways in which issues such as these would come to light in the current framework would be via the annual

monitoring process. In this situation the QA reviewer would inform us of the findings.

Question 10: What improvements do the NMC consider will result from the registrar approving all renewals or approvals of courses?

82 The Registrar's direct oversight of this provides a further level of scrutiny, which allows us to identify emerging risks and themes, triangulate external and internal information and incorporate these into future monitoring activity. The monthly meetings enable us to address these quality issues in a timely and dynamic way. In addition to the Registrar, the membership of the group includes staff from other NMC directorates, whose expertise informs the decision making process.

Third party feedback: We would welcome your comments on the following matter that has been raised:

- Concerns that advice and support from the NMC in relation to education queries is not helpful, clear or concise (Practice Education Facilitators)
- 83 The education queries raised in the feedback from the NHSCT Practice Education Team (NHSCT) relate to support and assessment in practice of students on NMC approved programmes. We are aware that providers of practice learning have found some of the principles in our *Standards to support learning and assessment in practice*⁷ challenging to interpret in the local context. As a result, we have developed additional material to support implementation of the standards, which is available on our website. This includes:
 - 83.1 Additional information to support implementation of NMC Standards to support learning and assessment in practice.⁴⁷
 - 83.2 FAQs for Standards to support learning and assessment in practice (updated in 2011).⁴⁸
- 84 In response to feedback, such as that provided by the NHSCT, we made our requirements for support and assessment of students on pre-registration nursing programmes explicit within the new *Standards for pre-registration nursing education*³ and provided additional information in the accompanying supporting advice.²⁰
- 85 In relation to helpful, clear and concise advice, as reported in our response to question 2 in the guidance and standards function (paragraph 60 in that document), we plan to introduce a new 'standards and ethics helpline' later this year. This will provide a single point of access for enquires (including those related to education). The helpline will be staffed by a small dedicated team

who will be trained to give advice on a wide range of issues. There will be a clear protocol in place for escalating queries to other members of staff with specialist expertise in education or practice who can respond to more complex gueries. The service will aim to ensure that we are consistent in our approach to advice and have a more structured method of collating data and feedback from its users.

⁶ Standards of proficiency for specialist community public health nurses - <u>http://www.nmc-</u> uk.org/Documents/Standards/nmcStandardsofProficiencyforSpecialistCommunityPublicHealthNurses.pdf

⁹ Guidance on professional conduct for nursing and midwifery students - http://www.nmc-uk.org/Documents/Guidance/NMC-Guidance-on-professional-conduct-fornursing-and-midwifery-students.PDF

¹⁰ Standards for medicines management - http://www.nmc-uk.org/Documents/Standards/nmcStandardsForMedicinesManagementBooklet.pdf

¹¹ Standards of proficiency for nurse and midwife prescribers - <u>http://www.nmc-</u> uk.org/Documents/Standards/nmcStandardsofProficiencyForNurseAndMidwifePrescribers.pdf

¹² Standards for the supervised practice of midwives - http://www.nmc-uk.org/Documents/Standards/nmcStandardsGForSupervisedPracticeofMidwivess2007.pdf

¹³ Standards for the preparation and practice of supervisors of midwives - <u>http://www.nmc-</u>uk.org/Documents/Standards/nmcStandardsforThePreparationAndPracticeofSupervisorsOfMidwives.pdf

¹⁵ Record keeping: Guidance for nurses and midwives - <u>http://www.nmc-</u> uk.org/Documents/Guidance/nmcGuidanceRecordKeepingGuidanceforNursesandMidwives.pdf

¹⁶ Guidance for the care of older people - http://www.nmc-uk.org/Documents/Guidance/Guidance-for-the-care-of-older-people.pdf

¹⁸ Raising and escalating concerns: Guidance for nurses and midwives - http://www.nmc-uk.org/Documents/RaisingandEscalatingConcerns/Raising-andescalating-concerns-guidance-A5.pdf

¹⁹ Circulars - http://www.nmc-uk.org/Publications-/Circulars/

¹ The code: Standards of conduct, performance and ethics for nurses and midwives - <u>http://www.nmc-</u> uk.org/Documents/Standards/nmcTheCodeStandardsofConductPerformanceAndEthicsForNursesAndMidwives_LargePrintVersion.PDF

² Midwives rules and standards - http://www.nmc-uk.org/Documents/Standards/nmcMidwivesRulesandStandards.pdf

³ Library of Standards - Pre-registration nursing education – Welcome page - <u>http://standards.nmc-uk.org/Pages/Welcome.aspx</u>

⁴ Standards for pre-registration nursing education – available to read online on the Standards page of our website - http://www.nmc-uk.org/Publications/Standards/

⁵ Standards for pre-registration midwifery education - http://www.nmc-uk.org/Documents/Standards/nmcStandardsforPre_RegistrationMidwiferyEducation.pdf

⁷ Standards to support learning and assessment in practice - <u>http://www.nmc-</u> uk.org/Documents/Standards/nmcStandardsToSupportLearningAndAssessmentInPractice.pdf

⁸ Standards for specialist education and practice - http://www.nmc-uk.org/Documents/Standards/nmcStandardsForSpecialistEducationandPractice.pdf

The Prep handbook - http://www.nmc-uk.org/Documents/Standards/nmcPrepHandbook.pdf

¹⁷ Care and respect every time: What you can expect from nurses - http://www.nmc-uk.org/Documents/Guidance/nmcCareandRespectEveryTime2009.pdf

²⁰ Supporting advice - http://standards.nmc-uk.org/PreRegNursing/non-statutory/Pages/supporting-advice.aspx

²¹ Good health and good character – Guidance for educational institutions - http://www.nmc-uk.org/Educators/Good-health-and-good-character/

²² Good health and good character: Guidance for students, nurses and midwives - http://www.nmc-uk.org/Students/Good-Health-and-Good-Character-for-studentsnurses-and-midwives/

²³ NMC Circular 33/2007 - http://www.nmc-uk.org/Documents/Circulars/2007circulars/NMC%20circular%2033 2007.pdf

²⁴ NMC Circular 07/2007 Annexe 2 - http://www.nmc-uk.org/Documents/Circulars/2007circulars/NMCcircular07 2007Annexe%202.pdf

²⁵ NMC Circular 03/2009 - http://www.nmc-uk.org/Documents/Circulars/2009circulars/NMC%20circular%2003 2009.pdf

²⁶ NMC Circular 13/2008 - http://www.nmc-uk.org/Documents/Circulars/2008circulars/NMC%20circular%2013 2008.pdf

²⁷ Review of pre-registration nursing education - http://www.nmc-uk.org/Get-involved/Consultations/Past-consultations/By-year/Review-of-pre-registration-nursingeducation/

²⁸ Review of pre-registration nursing education – Phase Two – Report on consultation findings on proposed new standards for pre-registration nursing education - http://www.nmc-uk.org/Documents/Consultations/RPNE/9537%20NMC%20RPNE%20II%20Report.pdf

²⁹ Making written information easier to understand for people with learning disabilities – Guidance for people who commission or produce Easy Read information – Revised Edition 2010 (see page 14 of document) -

http://www.dh.gov.uk/prod consum dh/groups/dh digitalassets/@dh/@en/documents/digitalasset/dh 121927.pdf

³⁰ Review of pre-registration nursing education (RPNE) – stakeholder engagement and outcomes - <u>http://www.nmc-uk.org/Documents/Consultations/RPNE/Review%20of%20pre-registration%20nursing%20education%20(RPNE)%20-</u> %20stakeholder%20engagement%20and%20outcomes.pdf

³¹ Post consultation adjustments incorporated into the final draft standards for pre-registration nursing education (Paper NMC/10/34, Annexe 3) - <u>http://www.nmc-uk.org/Documents/Consultations/RPNE/RPNEPhase2/NMCPreRegistrationNursingStandardsPostConsultationAdjustments.pdf</u>

³² Modernising nursing careers – setting the direction -http://www.dh.gov.uk/en/Publicationsandstatistics/Publications/PublicationsPolicyAndGuidance/DH_4138756

³³ Trust assurance and safety – The regulation of health professionals in the 21st century – http://webarchive.nationalarchives.gov.uk/20100407034821/http://www.dh.gov.uk/prod_consum_dh/groups/dh_digitalassets/@dh/@en/documents/digitalasset/dh_ 065947.pdf

³⁴ Healthcare for all: report of the independent inquiry into access to healthcare for people with learning disabilities - http://www.dh.gov.uk/en/Publicationsandstatistics/Publications/PublicationsPolicyAndGuidance/DH_099255

³⁵ The MINT Project, Midwives IN Teaching: - http://www.nottingham.ac.uk/midwifery/mint/index.php

³⁶ Midwifery 2020 programme: Education & career progression workstream: Final report - http://www.midwifery2020.org/documents/2020/Education.pdf

³⁷ Midwifery 2020 - http://www.midwifery2020.org/

³⁸ EU Directive 2005/36/EU

³⁹ NMC Circular 36/2007 – Supporting direct care through simulated practice learning in the pre-registration nursing programme - <u>http://www.nmc-uk.org/Documents/Circulars/2007circulars/NMCcircular36_2007.pdf</u>

⁴⁰ Principles for revalidation: report of the Working Group for Non-medical Revalidation; Professional Regulation and Patient Safety Programme - http://www.dh.gov.uk/en/Publicationsandstatistics/Publications/PublicationsPolicyAndGuidance/DH_091111

December 2010 and March 2011

⁴¹ Mott MacDonald in Partnership with NMC - <u>http://www.nmc.mottmac.com/</u>

⁴² Monitoring review plan 2009/10 - <u>http://www.nmc-</u>

uk.org/Documents/QualityAssurance/QAMonitoringReports/QAreports/NMC_QAframeworkReviewPlan2009_2010.pdf

Monitoring review plan 2010/11 - http://www.nmc-uk.org/Documents/QualityAssurance/QAMonitoringReports/2010_2011/MonitoringReviewPlan2010_2011.pdf

⁴³ Extraordinary reviews - <u>http://www.nmc-uk.org/Educators/Quality-assurance-of-education/Extraordinary-reviews/</u>

⁴⁴ Reviewing and monitoring - <u>http://www.nmc-uk.org/Educators/Quality-assurance-of-education/Reviewing-and-monitoring/</u>

⁴⁵ Search NMC approved programmes - <u>http://www.nmc-uk.org/Approved-Programmes/</u>

⁴⁶ Freedom of information - <u>http://www.nmc-uk.org/Freedom-of-information/</u>

⁴⁷ Additional information to support implementation of NMC Standards to support learning and assessment in practice - <u>http://www.nmc-uk.org/Documents/Standards/nmcAdditionalinformaionForSupporLearningAndAssessmentInPractice2008.pdf</u>

⁴⁸ FAQs for Standards for support learning and assessment in practice - <u>http://www.nmc-uk.org/Documents/Standards/nmcSLAiPfAQ20110131.pdf</u>